Doctors and Addiction: Helping Good People with a Bad Disease

Elinore F. McCance-Katz, M.D., Ph.D.

Professor of Psychiatry

University of California San Francisco

State Medical Director

California Department of Alcohol and Drug Programs

Topics to be Covered

- Physician Impairment and Substance Abuse
- Alcohol and Other Mood Altering Substances:
 - Identification of Addiction in Physicians
 - Diagnostic Criteria
 - Assessment and Treatment
 - Pharmacotherapies
 - Return to Practice
- Support for Impaired Physicians
 - Monitoring Programs: Do they work?

Learning Objectives

- To gain an understanding of the disease of addiction in physicians including
 - What constitutes hazardous substance use
 - Warning signs
 - Assessment and treatment
 - Return to Practice
- To obtain information on how to get help at UCSF if substance use or other impairment issues are a problem

How Is Impairment in Physicians Defined?

"A physician who is unable, or potentially unable to practice medicine with reasonable skill and safety to patients because of physical or mental illness, including deterioration through the aging process or loss of motor skills, or excessive use or abuse of drugs including alcohol."

Physician Impairment

Refers to situations in which health practitioners are unable to perform their professional responsibilities adequately because of a variety of health problems:

- Medical disease
- Mental Illness
- Substance abuse

Physician Impairment

- Not all illness is synonymous with impairment.
- Impairment of work function, tends to be a late stage of illness phenomenon rather than an early sign.
- By the time a physician's practice is affected usually there have been adverse consequences to the physician's social life, family life, financial status, and even physical health.

Substance Use Disorders

- Principal cause of physician impairment
- Characteristics of addiction:
 - Behavioral dysfunction
 - Medical complications
 - Co-occurring mental illness
- Loss of control over substance use, overuse, intoxication, withdrawal:
 - Poor occupational functioning and poor clinical outcomes
 - Inability to practice safely
 - Potential harm to patients

Substance Use

What are hazardous use levels?

- Alcohol
- >7 drinks per week for women (or > 3 drinks per occasion) and >14 for men (or > 4 drinks per occasion) (NIAAA, 2007).
- (One drink equals one 12-ounce bottle of beer or wine cooler, one 5-ounce glass of wine, or 1.5 ounces of 80-proof distilled spirits)

Substance Use

What are hazardous use levels?

- Illicit Drugs:
- Marijuana
- Stimulants (cocaine, methamphetamine)
 MDMA
- Heroin
- Hallucinogens
- there are no established safe levels of use; any use could be hazardous depending on individual genetics, drug composition, environment where drug is used

Substance Use

- Prescription Medications: There are no established safe levels of recreational use or other use of prescription medications
- Physicians, like others, should have a doctor patient relationship in order to obtain prescription medications
- No self-prescribing
- Don't ask colleagues to prescribe to you and don't prescribe to colleagues/other staff as this does not constitute a true physician-patient relationship

Substance Use Disorders

- Substance Use Disorders are brain diseases which are:
- Treatable.
- Chronic and relapsive.
- Progressive and may be fatal if untreated.

Prevalence of Disease

Substance Use Disorders:

- Prevalence in physicians probably not different than that of the public at large
- ~ 10% (SAMHSA, 2009)
- In the next few slides, we will look at prevalence of substance use problems in physicians

Prevalence in Practicing Physicians

- Survey of 9600 physicians: More likely than general population to use alcohol, opiates and benzodiazepines
- 2% reported alcohol abuse or dependence in last year
- 11%: unsupervised benzodiazepine use
- 18%: unsupervised use of opioids
- 5 times as likely to take sedatives and minor tranquilizers unsupervised

Hughes et al. 1992

Prevalence in Resident Physicians

- Self-report survey data:
 - Among resident physicians, the use of psychoactive substances was generally lower than it was among similar age groups in the general population
 - Use of benzodiazepines was greater, with self-treatment generally being cited as the reason for such use. (Hughes et al. 1991)

Prevalence in Resident Physicians

- Former anesthesiology residents: lower lifetime use of marijuana and cocaine than among other groups of residents
- Possible self-selection for drug use and specialty, but in all cases, the use of these drugs was lower among residents than among similarly aged groups in the general population.

Lutsky et al. 1991

Prevalence of Impairing Illnesses in Medical Students

- 12% estimated to suffer depression in the first two years of school.
- Women medical students have same suicide rate as male students, and 3-4 X agematched controls.
- Rates of illicit drug, prescription narcotic and alcohol abuse: 7 – 18%
- Survey of 2046 students: 1.6% responded that they currently needed help for substance abuse.

Balwin et al. 1991, Center et al. 2003

Can Impairment Be Predicted?

- Physicians disciplined by their regulatory Boards were 3X as likely as to have demonstrated unprofessional behavior in medical school.
- The largest number of disciplinary actions were related to the use of alcohol and drugs.

Papadikis et al. 2005

Co-Occurring Mental Illness

- Substance use disorders often co-occur with depression.
- In physicians, depression is common and has been reported to occur at a lifetime prevalence rate of 12.8% in men and 19.5% in women (Center et al, 2003, Ford et al. 1998).

Co-Occurring Mental Illness

- Suicide is a risk: Suicide prevalence (relative risk compared to the general population) for male physicians is 1.1-3.4 and 2.5-5.7 for female physicians (Frank and Dingle, 1999).
- Due to the physician's greater knowledge of lethal drugs and access, rates of completed suicides are higher in the physician population.

What Prevents Physicians From Getting Help?

- Ignorance about disease
- Fear of the stigma attached to diseases such as depression and chemical dependence
- Self-diagnosis and "curbside" consults
- Concern about confidentiality
- Time Constraints

What Prevents Physicians From Getting Help?

- Fear of jeopardizing one's career
- Culture of medical education and medicine that rewards individuals who are self-reliant, high achievers, competitive – leads to isolation and the notion that "good doctors" have few needs
- Character traits of physicians to be "selfsacrificing" at the expense of their own health and needs
- Family and colleagues participating in "conspiracy of silence"

Identifying the Impaired Physician

High risk conditions:

- Family history
- Access
- Domestic breakdown, stress at home
- Unusual stress at work (malpractice suit)
- Self-diagnosing and self-prescribing
- Poor self-care

Identifying the Impaired Physician

- It is often difficult to identify chemical dependence and substance abuse among our colleagues.
- Signs are subtle and attributed to other problems.
- Changes in behavior are often gradual and overlooked on a day-to-day basis.
- Often, the workplace is the last place to be affected by chemical dependence.

What are Some of the Indicators of Substance Abuse or Addiction?

- Alcohol on breath
- DUI
- Tremors
- Often late Mondays
- Missing work frequently; calling in sick
- Mood Swings

What are Some of the Indicators of Substance Abuse or Addiction?

- Drowsy or sleeping at work
- Slurred speech on phone
- Inappropriate orders
- Inconsistent work performance
- Deteriorating physical appearance; weight loss
- Missing medications
- Unusual prescribing practices

What is Substance Abuse?

One or more in a 12 month period:

Recurrent use resulting in failure to fulfill major role obligation: work, school, home

Recurrent use in hazardous situations (e.g.: driving under the influence)

Substance-related legal problems

Continued use despite recurrent social or interpersonal problems

What is Substance Dependence (Addiction)?

Three or more of these seven criteria in a 12-month period:

- 1. Tolerance (need for increasing amounts to get expected effects)
- 2. Withdrawal (a group of symptoms that occurs upon the abrupt discontinuation of or a decrease in dosage of medications, recreational drugs, and/or alcohol which are usually the opposite of what effects the drug itself produces)
- 3. More or longer consumption than intended

What is Substance Dependence (Addiction)?

- 4. Cannot cut down or control use
- 5. A great deal of time getting, using, recovering from substance
- 6. Activities given up or reduced
- 7. Use despite knowledge of health problem

Diagnostic and Statistical Manual of Mental Disorders, Text

Revision (DSM IV-TR)

What If Impairment Occurs?

- Impaired physicians are removed from practice and usually enter treatment
- Intervention is undertaken to assist with getting practitioner to full medical/psychiatric assessment/treatment
- Denial is universal characteristic of disease and very difficult to overcome even in the face of overwhelming consequences.

Assessment

- Physicians generally receive multi-day assessment:
 - Medical evaluation
 - Psychiatric evaluation
 - Substance Abuse evaluation
 - Neuropsychological evaluation
 - Collateral information
 - Family Therapy evaluation
- Assessment team discusses findings and determines diagnosis and treatment recommendations

Treatment

- Should occur at facilities that specialize in the treatment of health care professionals
- Physicians, pharmacists, dentists, nurse anesthetists more likely to receive long term residential care (30-90 days)

Treatment

- Inpatient/Residential Treatment Components:
 - Detoxification
 - Med/Psych evaluation
 - Individual/Group therapy
 - Alcoholics Anonymous/Narcotics Anonymous introduction
 - Family Therapy

Treatment

- Outpatient Treatment Components (after completion of residential):
 - Group therapy usually weekly for 2-3 years
 - Continued AA/NA
 - Family therapy as needed
 - Identification of support system for practitioner
 - Pharmacotherapy
 - Monitoring to include urine screening

Pharmacotherapy

- Alcohol
 - FDA approved medications
 - Naltrexone (an opioid antagonist thought to be helpful with reducing alcohol craving)
 - Disulfiram (blocks alcohol metabolism with increases in acetaldehyde which cause a noxious reaction if alcohol is consumed
 - Acamprosate (thought to modulate GABA and glutamate neurotransmission to help reduce craving)

Pharmacotherapy

- May be helpful; particularly for physicians who will have heavy consequences for relapse
 - Physicians may be offered disulfiram over other alcohol pharmacotherapies because it can help to completely stop use which is thought to be the best option for healthcare practitioners with alcohol dependence

» Barth, 2010, Garbutt, 2009

Pharmacotherapy

- Medications for Opioid Dependence
- Methadone
- Buprenorphine
- Medical Boards (state regulatory agencies) do not usually support the use of opioid agonists in addicted physicians
- Naltrexone: an opioid antagonist that blocks the positive effects of opioids; often used to treat physicians with opioid addiction

Re-Entry to Practice

- Initial rehabilitation process complete
- Participation in continuing treatment
- Abstinence has been initiated and maintained for a period of time
- Voluntary entry into a physician health program that will provide monitoring services to assist with ongoing treatment and assure abstinence

Re-Entry to Practice

- Will be considered to re-enter practice under contract and continued monitoring with the physician health program or residency program
- Contract will stipulate treatment, urine toxicology screening, work site monitoring, self-help groups

Relapse Risks

- Major opioid (e.g.: injectable drugs such as dilaudid, fentanyl) use +
 - Co-occurring mental disorder
 - (Risk Ratio: 5.79)
 - Family history of substance use disorder (Risk ratio: 2.29)
 - Having all 3 risk factors
 - (Risk Ratio: 13.25)

Medicolegal Issues

- Legal aspects of physician impairment handled primarily at state level
- State licensing organizations can withdraw a license from a practitioner deemed to be impaired/incompetent
- Primary goal of licensing boards is to protect public from unqualified health care practitioners

Medicolegal Issues

- History of substance abuse is queried on staff applications and renewals
- Employer based drug testing increasing; positive test will be followed up with an assessment
- For physicians: National Practitioner Data Bank is repository for actions of state licensing boards, hospital medical staff actions. state medical societies and malpractice claims
- (note: voluntary entrance to substance abuse treatment is not reportable)

Is Treatment an Effective Means of Resolving Substance Abuse in Physicians?

- Physician Health Programs (treatment/monitoring/sanctions) in the U.S. are being evaluated to determine their effectiveness.
 Physicians with substance use disorders are often referred to such programs.
- 5-year follow up study (n=804) McLellan et al. 2008
- 19% of impaired physicians failed the monitoring program (usually by relapse early in treatment)
- 81% successfully completed treatment and returned to practice under monitoring

Is Treatment an Effective Means of Resolving Substance Abuse in Physicians?

- Alcohol or drug use was detected by urine drug screening in 19% of the remaining physicians over 5 years, 26% had multiple relapses. Relapsers were removed from practice.
- At 5 years:
 - 78.7% of program participants were working as physicians
 - 10.8% had their licenses revoked
 - 3.5% retired
 - 3.7% died
 - 3.2 % unknown

How to Get Help

- Call the UCSF Faculty and Staff Assistance Program (415) 476-8279
- Location: Laurel Heights campus
- Hours: M-F, 8A-5P, but 24 hour coverage of telephone line is provided
- Same day appointments are usually available
- For more information:
 http://www.ucsfhr.ucsf.edu/index.ph
 p/assist/index.html

Specific information for residents and fellows about the UCSF Faculty and Staff Assistance Program:

http://medschool.ucsf.edu/gme/residents/RFA/tenQs/CounselingServiceFall08.pdf

Information includes:

- charges and costs
- confidentiality
- scope of counseling
- qualifications of the counselors
- record of interactions
- reporting

How to Get Help for Others

If you have a concern about the possible impairment of a physician colleague, the UCSF Physicians Well Being Committee is a confidential resource where you can discuss this.

Call the Medical Staff Office at 885-7268 and ask to speak to the PWBC chair.

For more information:

http://www.ucsfmedicalcenter.org/medstaffoffice/

- AMA Council on Mental Health. The sick physician: Impairment by psychiatric disorders, including alcoholism and drug dependence. *JAMA* 1973;223:684-687.
- Balwin DC, Hughes PH, Conard sE, Storr CL, Sheehan DV: Substance abuse among senior medical students. JAMA 265: 2074-2078, 1991.
- Barth KS, Malcolm RJ. Disulfiram: an old therapeutic with new applications. CNS Neurol Disord Drug Targets 2010;9:5-12
- Carrington R, Fiellin D, O'Connor PG: Hazardous and Harmful Alcohol Consumption in Primary Care *Arch Inter Med.* 1999;159:1681-1689.
- Center C, et al. Confronting depression and suicide in physicians. A consensus statement. JAMA 289: 3161-3166, 2003.

- Domino KB, Hornbein TF, Polissar NL, Renner G, Johnson J, Alberti S, Hankes L: Risk factors for relapse in health care professionals with substance use disorders. JAMA 293: 1453-1460, 2005.
- Ford DE, Mead LA, Chang PP, Cooper-Patrick L, Wang NY, Klag MJ. Depression is a risk factor for coronary artery disease in men: the precursors study. *Arch Intern Med*. 1998;158:1422-1426.
- Frank E, Dingle AD. Self-reported depression and suicide attempts among US women physicians. Am J Psychiatry. 1999;156:1887-1894.
- Fuller RD, Willford WO, Lee KK, Derman R: Veterans Administration cooperative study of disulfiram in the treatment of alcoholism: study design and methodological considerations. Control Clin Trials. 1984 Sep;5(3):263-73

- Garbutt JC. The state of pharmacotherapy for the treatment of alcohol dependence. J Subst Abuse Treat 2009;36:S15-23; quiz S24-5
- Hughes PH, Brandenburg N, Baldwin DC, Storr CL, Williams KM, Anthony JC, Sheehan DV: Prevalence of substance use among US physicians. JAMA 267: 2333-2339, 1992.
- Hughes PH, Conard SE, Baldwin DC, Storr CL, Sheehan DV. Resident physician substance use in the United States. *JAMA* 1991;265:2069-2073.
- Lutsky I, Abram SE, Jacobson GR, Hopwood M, Kampine JP. Substance abuse by anesthesiology residents. *Acad Med* 1991;66:164-166.

- McCance-Katz EF, Kosten TR: Psychopharmacological treatments. In Clinical Textbook of Addictive Disorders (third edition), S. Miller and R. Frances (eds.) Guilford Press, New York, NY, pp. 588-614, 2005.
- McLellan AT, Skipper GS: Campbell M, Dupont RL: Five year outcomes in a cohort study of physicians treated for substance use disorders in the United States. BMJ 2008 337:a2038.
- O'Malley SS, Jaffe AJ, Chang G, Schottenfeld RS, Meyer RE, Rounsaville B: Naltrexone and coping skills therapy for alcohol dependence. Arch Gen Psychiatry 49: 881-887, 1992.
- Papadikis MA, Teherani A, Banach MA, Knettler TR, Rattner SL, Stern DT, Veloski JJ, Hodgson CS: Disciplinary action by medical boards and prior behavior in medical school. N Engl J Med. 2005 Dec 22;353(25):2673-82
- SAMHSA, National Survey on Drug Use and Health, 2009

University of California, San Francisco Department of Surgery

Physician Impairment Module Attestation

·,	, PGY resident in the Department of Surgery Residency Train	ning
(print name)	(level)	
Program at the University of California, San Fran Helping Good People with a Bad Disease" by F	cisco, attest I have reviewed the presentation "Doctors and Addiction: Elinore F. McCance-Katz, MD, PhD.	
Resident Signature	Date	